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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:**

Havenga et al.

**Serial No.:** 10/040,949

**Filed:** January 7, 2002

**For:** INFECTION WITH CHIMAERIC  
ADENOVIRUSES OF CELLS NEGATIVE  
FOR THE ADENOVIRUS SEROTYPE 5  
COXSACKI ADENOVIRUS RECEPTOR  
(CAR)

**Examiner:** To be assigned

**Group Art Unit:** 1645

**Attorney Docket No.:** 2183-5226US

**NOTICE OF EXPRESS MAILING**

Express Mail Mailing Label Number: EV175437013US

Date of Deposit with USPS: October 25, 2002

Person making Deposit: Jon Wentz

**RESPONSE TO NOTICE TO COMPLY**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

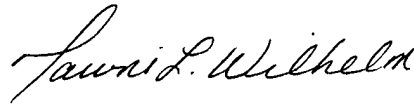
Enclosed is a copy of the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence, along with the following required items in connection with the above-referenced application: Paper copy of the Substitute Sequence Listing, copy of the Substitute Sequence Listing in computer readable form (CRF) and statement under 37 C.F.R. §§ 1.821 through 1.825 (2 pages). This document is being filed within two (2) months from the mailing date of the Notice to Comply.

Serial No.: 10/040,949

It is noted that while a change in the filing date was made in response to a request in the Notice to Comply to reflect the date on which the Sequence Listing was submitted, the filing date of the application is January 7, 2002.

If any questions remain regarding this matter, please contact the undersigned at the address or telephone number given herein.

Respectfully submitted,



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Date: October 16, 2002

ACT/TLW/

Enclosures:

Copy of Notice to Comply  
Paper Copy of Substitute Sequence Listing  
Statement Under 37 C.F.R. §§ 1.821 through 1.825 (2 pages)  
Substitute Sequence Listing in Computer Readable Form (CRF )



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

Commissioner for Patents  
Washington, DC 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/040,949	01/07/2002	Menzo Havenga	2183-5226US

24247  
TRASK BRITT  
P.O. BOX 2550  
SALT LAKE CITY, UT 84110

CONFIRMATION NO. 5172

FORMALITIES LETTER



\*OC000000008875442\*

Date Mailed: 09/30/2002

# NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

*Filing Date Granted*

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
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*A copy of this notice **MUST** be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE